

Title:

Penny Stock Rules the Investor Should Know

Word Count:

495

Summary:

First of all, a penny stock is a stock that is priced between 1 cent and \$5 and is traded over

Keywords:

Stock Guru

Article Body:

First of all, a penny stock is a stock that is priced between 1 cent and \$5 and is traded over

The Securities and Exchange Commission (SEC) has set forth penny stock rules when trading and

The SEC requires the brokerage firm to obtain a written agreement from the customer regarding

The firm is required by the SEC to provide the customer with a document that outlines the ris

The rules state that the consumer must be notified if there is a market quotation and what th

The firm must also disclose to the customer what their commission will be for the trade.

Penny stock rules also state that the firm must provide the customer with monthly statements

These penny stock rules are necessary to ensure proper trading of penny stocks and that the in

In the penny stock rules, there is a Customer Protection Rule (Rule 15c3-3) that states the co

Penny stock rules are designed to protect the customer, the stock market, and the broker. If

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